

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

1c918 U.S. PTO  
09/782354



**INFORMATION DISCLOSURE  
STATEMENT**

Docket Number  
11890/1

Application Number  
To Be Assigned

Filing Date  
Herewith

Examiner  
To Be Assigned

Art Unit  
To Be Assigned

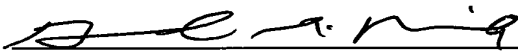
Invention Title  
METHOD AND APPARATUS FOR OPTICAL DATA  
TRANSMISSION AT HIGH DATA RATES WITH  
ENHANCED CAPACITY USING POLARIZATION  
MULTIPLEXING

Inventor(s)  
SCHEMMANN et al.

Assistant Commissioner  
for Patents  
Washington, D.C. 20231  
Box Patent Application

1. In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance with the procedures of 37 C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609, attorneys for Applicants hereby bring the following references to the attention of the Examiner. The references are listed on the attached modified PTO form 1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.
2. A copy of each patent, publication or other information listed on the modified PTO form 1449 is enclosed, except as otherwise indicated on the modified PTO form 1449.

Dated: 2/12/01

By:   
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EXPRESS MAIL CERTIFICATE

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TYPE OF DOCUMENT New Patent Application

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I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE, BY BEING HANDED TO A POSTAL CLERK OR BY BEING PLACED IN THE EXPRESS MAIL BOX BEFORE THE POSTED DATE OF THE LAST PICK UP, AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

CHRISTOPHER ASH

(PRINTED NAME OF PERSON MAILING PAPER OR FEE)

*Christopher Ash*

(SIGNATURE OF PERSON MAILING PAPER OR FEE)

Title: *Method and apparatus for optical data transmission at high data rates with enhanced capacity using Polarization multiplexing.*

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANTS PTO FORM 1449</b>	Atty. Docket No. 11890/1	Serial No. To Be Assigned
	Applicant(s) SCHEMMANN et al.	
	Filing Date Herewith	Group To Be Assigned

10000 U.S. PTO  
09/782354  
02/12/01

**U. S. PATENT DOCUMENTS**

EXAMINER'S INITIALS	PATENT NUMBER	PATENT DATE	NAME	CLASS	SUBCLASS	FILING DATE
	3,742,149	Jun. 26, 1973	YOSHIDA et al.			
	3,752,992	Aug. 14, 1973	FLUHR			
	5,101,450	Mar. 31, 1992	OLSHANSKY			
	5,388,088	Feb. 7, 1995	GANS et al.			
	5,416,628	May 16, 1995	BETTI et al.			
	5,559,828	Sept. 24, 1996	ARMSTRONG et al.			

**FOREIGN PATENT DOCUMENTS**

EXAMINER'S INITIALS	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION	
						YES	NO
	5 224 267	Mar. 9, 1993	Japan			X*	

\* Abstract

**OTHER DOCUMENTS**

EXAMINER'S INITIALS		AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	